

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

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JOHN CLARIZIA, <i>et al.</i> , individually and	:	
on behalf of all others similarly situated,	:	
	:	
Plaintiffs,	:	
	:	
v.	:	Case No. 13 Civ. 02907-ALC-HBP
	:	
OCWEN FINANCIAL CORPORATION, <i>et al.</i> ,	:	
	:	
Defendants.	:	
	:	
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**DEFENDANTS ASSURANT, INC. AND AMERICAN SECURITY  
INSURANCE COMPANY’S NOTICE OF SUPPLEMENTAL AUTHORITY**

Defendants Assurant, Inc. and American Security Insurance Company (collectively, the “Assurant Defendants”) respectfully request that the Court take notice of an Order issued June 25, 2014 by the United States Court of Appeals for the Second Circuit granting leave to appeal an interlocutory order in *Rothstein v. Balboa Ins. Co., et al.*, Case No. 14-1112 (Dkt. No. 36). For the Court’s convenience, a copy of the Order is attached as Exhibit A.

Like the case before this Court, *Rothstein* is a putative class action alleging that the defendant mortgage loan servicer and insurer engaged in unlawful practices relating to the lender-placed insurance process, including the alleged inclusion of so-called “kickbacks” in the defendants’ compensation arrangements. The Court of Appeals will review the district court’s decision that New York’s filed-rate doctrine does not bar the plaintiffs’ claims, which conflicts with two other decisions from this district. *See Miller v. Wells Fargo Bank, N.A.*, 2014 WL 349723 (S.D.N.Y. Jan. 30, 2014) and *Curtis v. Cenlar FSB*, 2013 WL 5995582 (S.D.N.Y. No. 12, 2013). Because the allegations in *Rothstein* are substantially similar to those at issue here, a

decision by the Court of Appeals concluding that the filed-rate doctrine applies in *Rothstein* should dispose of the parallel claims in this case. *See* Dkt. No. 63 at 4-12.

The Court's consideration is appreciated.

Dated: June 27, 2014

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and American Security Insurance Company*

# **EXHIBIT A**

S.D.N.Y.-N.Y.C.  
12-cv-3412  
Nathan, J.

United States Court of Appeals  
FOR THE  
SECOND CIRCUIT

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At a stated term of the United States Court of Appeals for the Second Circuit, held at the Thurgood Marshall United States Courthouse, 40 Foley Square, in the City of New York, on the 25<sup>th</sup> day of June, two thousand fourteen.

Present:

Guido Calabresi,  
José A. Cabranes,  
Christopher F. Droney,  
*Circuit Judges.*

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Landon Rothstein, individually and on behalf of all  
others similarly situated, *et al.*,

*Plaintiffs-Respondents,*

v.

14-1112

Balboa Insurance Company, *et al.*,

*Defendants-Petitioners,*

GMAC Mortgage, LLC, *et al.*,

*Defendants.*

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Petitioners move, pursuant to 28 U.S.C. § 1292(b), for leave to appeal an interlocutory order of the district court. Upon due consideration, it is hereby ORDERED that the petition is GRANTED. Petitioners are directed to file a scheduling notification within 14 days of the date of entry of this order pursuant to Second Circuit Local Rule 31.2.

Respondents move for this Court to take judicial notice of certain complaints filed in other federal courts and certain documents made public by the New York State Department of Financial Services pursuant to New York's Freedom of Information Law. Upon due

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consideration, it is hereby ORDERED that the motion is GRANTED as to the complaints, and DENIED as to the public documents. *See Rothman v. Gregor*, 220 F.3d 81, 92 (2d Cir. 2000) (taking judicial notice of another complaint “as a public record”); *United States v. Byrnes*, 644 F.2d 107, 112 (2d Cir. 1981) (upholding lower court’s refusal to take judicial notice of regulations that were irrelevant to the case).

FOR THE COURT:

Catherine O’Hagan Wolfe, Clerk

The block contains a handwritten signature, "Catherine O'Hagan Wolfe", written in black ink. The signature is positioned over a circular official seal. The seal is red and white, with the words "UNITED STATES" at the top, "SECOND CIRCUIT" in the center, and "COURT OF APPEALS" at the bottom, separated by stars.

A True Copy

Catherine O’Hagan Wolfe, Clerk

United States Court of Appeals, Second Circuit

The block contains a handwritten signature, "Catherine O'Hagan Wolfe", written in black ink. The signature is positioned over a circular official seal. The seal is red and white, with the words "UNITED STATES" at the top, "SECOND CIRCUIT" in the center, and "COURT OF APPEALS" at the bottom, separated by stars.